



Friday, May 19, 2017

8:00 AM – Noon

LOS ROBLES GREENS – THE SUNSET BALLROOM

299 S. Moorpark Road, Thousand Oaks 91361

Exit the 101 freeway at Moorpark Road. Go south on Moorpark Road approx. 1/4 mile. Turn right on Rolling Oaks Drive. Continue on Rolling Oaks into golf course parking lot.



PRISONER #61727-054: FRAUD AND THE FINANCIAL ADVISOR

presented by **Chris Hamilton, CPA, CFE, CVA**

Nobody begins their career desiring or expecting to be caught up in fraud as a victim, a perpetrator, or an accomplice. This session will examine one famous fraud case by going behind the scenes. The story of a massive fraud will be told and practical application made to the professional’s role in detecting, preventing, and reporting fraud.

Chris Hamilton is a CPA, Certified Fraud Examiner, and Certified Valuation Analyst specializing in fraud and forensic accounting in the context of complex civil litigation. He has served as an expert witness in civil, criminal, probate and family court matters. Chris presents and leads seminars nationally on fraud detection and prevention and forensic accounting as well as the valuation of businesses, intellectual property, and damages. His accounting and consulting firm is located in Simi Valley, CA.

Complexity: Intermediate / **CFP Principal Knowledge Topic:** Professional Conduct and Regulation / **CFP CE Credit:** 1.0 hours expected.

CFP BOARD ETHICS CONTINUING EDUCATION

presented by **David Bergman, CFP®, CLU**

All holders of the CFP® certificate must fulfill a requirement of two hours of CFP Board approved ethics training every two years. This course includes review of the fiduciary standard, compensation disclosure, conflicts of interest and requirements of a CFP® professional to offer financial planning services.



David Bergman has been a CFP® since 1986, is an Enrolled Agent with the IRS, a Chartered Life Underwriter (CLU) and Chartered Financial Consultant (ChFC). He has served as President and Chairman of the Financial Planning Association of Los Angeles (formerly the ICFP Society of Los Angeles) and served for 5 years on the National Board of the Financial Planning Association (FPA, formerly the ICFP).

Complexity: Intermediate / **CFP Principal Knowledge Topic:** Professional Conduct and Regulation / **CFP CE Credit:** 2.0 hours of Ethics training.

REGISTER TODAY! Early Bird Discount ends April 30th/ Reservations deadline May 16th. Register online at www.FPAVentura.org or by phone **877-281-0675** and choose #1. Credit cards accepted online. Make checks payable to “FPA Ventura” and mail to PO Box 188, Camarillo CA, 93011. \$45 for FPA members /\$65 non-members **before May 1st**. \$50 members/ \$70 non-members after April 30th. (No refunds after May 16th.)

“The mission of the Financial Planning Association of Ventura County is to strengthen and support the local community of those who are committed to financial planning as a practice and profession.”