



OF VENTURA COUNTY

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FPA of Ventura County
PO Box 188, Camarillo, CA 93011-0188
www.fpaventura.org (877) 281-0675

Friday, November 16, 2018

8:00 AM - Noon

SANTA BARBARA INN

901 E. Cabrillo Blvd., Santa Barbara, CA 93103

101 southbound - Exit at Milpas Street. Take a right on Milpas and continue till the street ends at Cabrillo Blvd.

101 northbound - Exit at Cabrillo Blvd. Take a left on Cabrillo and continue to Milpas.

Santa Barbara Inn is on the corner of Milpas and Cabrillo Blvd.

MODEL BEHAVIOR: AI, BIG & SMALL DATA IN QUANT MODELS

presented by **Rick Roche, CAIA** – Managing Director at Little Harbor Advisors, LLC

This presentation provides an insider’s look into the opaque yet disruptive world of quantitative investing and the emergence of Artificial Intelligence, Machine learning, Big and small Data. Learn how asset managers are deploying algorithms and advanced statistical modeling to classify and monetize varied and differentiated sources of potential alpha. He reveals some of the largest practitioners of quantitative investment management and emerging players in the quant investing space.



Rick Roche, CAIA

Rick holds Series 3 (Commodities), 7, 63 and 65 licenses, earned his Chartered Alternative Investment Analyst (CAIA) charter designation in 2014. He has consulted 85 major financial companies and personally trained tens of thousands of financial sales pros in customer loyalty marketing, capital market trends and investor

behavior.

Career Stage: Intermediate / Competency: Technical Knowledge / CFP Topic List: Investment Planning / CFP CE Credit: 1.5 Hours



Elizabeth Stewart
Photo courtesy
of Santi Visalli

NO THANKS MOM: THE TOP 10 OBJECTS YOUR KIDS DON'T WANT (AND WHAT TO DO WITH THEM)

presented by **Elizabeth Stewart, Ph.D.** – Elizabeth Appraisals

Often overlooked in estate planning are baby boomers’ cherished objects. Any planner dealing with the WHOLE client asset portfolio will benefit from this market analysis of what’s valued - or disdained, and how to pass on beloved objects and get tax deductions, too.

Elizabeth has been an appraiser of fine art and antiques for over 30 years with offices on West and East Coasts. A Certified Member of the Appraisers Association of America, she serves clients in Estates, Trusts, Equitable Distribution for Heirs, Insurance, and Auction support. She is also a journalist in the field of material culture for the SB News Press and has a weekly radio show on KZSB- AM1290. In addition, she is the author of two books, “The Savvy Appraiser: Collect, Value, Divest” and her most recent book “No Thanks Mom: The Top 10 Objects Your Kids Don’t Want.”

Career Stage: All / Competency: Relationship Skills, Critical Thinking, Human Resources Management / CFP Topic List: Estate Planning, Client Trust and Communication / CFP CE Credit: 1.0 Hours

REGISTER TODAY! Early Bird Discount ends October 31 / Reservations deadline November 16th Register online at www.FPAventura.org or by phone **877-281-0675 option #1**. Credit cards accepted online. Make checks payable to “FPA Ventura” and mail to PO Box 188, Camarillo CA, 93011. Early-bird Fees \$45 for FPA members / \$65 non-members after Oct 31st, \$50 members/ \$70 non-members. **Season Pass Holders:** register online using your Season Pass discount code or contact Rachel. (No refunds after Nov.13th.)

“The mission of the Financial Planning Association of Ventura County is to strengthen and support the local community of those who are committed to financial planning as a practice and profession.”